

United States Bankruptcy Court  
Southern District of New York

Names of Debtors: Lehman Brothers Holdings Inc., et al

Case Number: 08-13555 (JMP) (Jointly Administered)

Title of the Objection: Debtors' Thirty-First Omnibus to  
Claims ( Insufficient Documentation Claims)

Name of Claimant: Henry Frankenberg, Trustee  
FBO Frankenberg Trust B  
U/AD 01-11-2000

Description of the basis of the claim:

August 9, 2007 purchase of 2,600 shares  
of Lehman Brothers preferred stock, TR V  
issue, for \$54,908.94 and retention of  
same to date.

Reasons why claim should not be disallowed, expunged, reduced,  
or reclassified for the reasons set forth in the Objection:

My response to the July 2, 2009 order, which was  
dated August 10, 2009, did in fact provide supporting  
documentation for my claim.

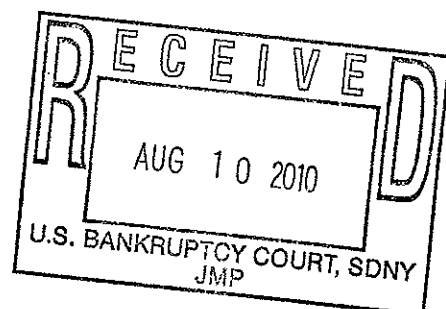
Documentation or evidence of claim: See attached

Address to which Debtors should respond:

Henry Frankenberg  
16 Horseshoe Lane  
Rolling Hills Estates, CA 90274

Name, address, and telephone number of person with authority:

Henry Frankenberg  
16 Horseshoe Lane  
Rolling Hills Estates, CA 90274  
(310) 377-0432



**Confirmation** 2 of 3

citi smith barney

Your Broker/Dealer is

**CITIGROUP GLOBAL MKTS INC.**  
 609 DEEP VALLEY DR.  
 ROLLING HILLS EST.  
 CA 90274

Account Number:  
 Financial Advisor:

569-70079-1-1-727  
 ERIC NICHOLS  
 310-544-3613

Page 1 of 1

ACCESS your Smith Barney  
 account online...Free. Enroll  
 now at smithbarney.com

221ND121 018879

HENRY FRANKENBERG TTEE #452  
 FBO FRANKENBERG TRUST B  
 U/A/D 01-11-2000  
 16 HORSESHOE LANE  
 ROLLING HILLS ESTATES CA 90274



Summary For Settlement Date	08/14/2007
Total Purchases	\$ 54,908.94
Total Sales	\$ 54,532.77
Net Amount	\$ 376.17 Debit

you Bought 2,600 at a price of 21.1169

EHMAN BROTHERS HLDGS CAP  
 3 V 6% PFD SER M  
 TRIP YIELD = 7.133  
 .0000% DUE 04/22/2053  
 EXT CALL:04/22/09 AT 25.000  
 EE PREFERRED NOTE BELOW

Gross Amount	\$ 54,903.94
Transaction Fee	5.00
Amount	\$ 54,908.94
Settlement Date	08/14/2007

Trade Date: 08/09/2007  
 Market: New York Stock Exch.

CUSIP#: 52520E-20-0  
 Security#: L278853  
 Symbol: LEHPRM

Solicited Order  
 Cash Acct.  
 Ref #: 1851700

HOLD SECURITIES

acted as your agent in this transaction.

you Sold 861.225 at a price of 63.32

CAPITAL INCOME BUILDER FUND  
 CLASS C  
 CONFIRM #500072210191452

Gross Amount	\$ 54,532.77
Redemption Proceeds	\$ 54,532.77
Settlement Date	08/14/2007

Trade Date: 08/09/2007  
 Market: Midwest Stock Exch.

CUSIP#: 140193-30-1  
 Security#: C188709  
 Symbol: CIBCX

Unsolicited Order  
 Cash Acct.  
 Ref #: 3015856

HOLD PROCEEDS

acted as your agent in this transaction.

**Preferred Securities**

tain preferred securities, hybrid securities, Trust Preferred Securities (TruPS), Real Estate Investment Trusts (REITs), and other securities  
 h call or other features can be called prior to maturity, may have extension risk or may be subject to other features or corporate restrictions,  
 / of which may reduce yield or result in an investment loss if purchased at a premium. Prices may fluctuate reflecting market interest rates  
 d the issuer's credit status. You should be fully familiar with the features of the securities as to which this confirmation relates. Please contact  
 ur Financial Advisor with any questions.

Ref: 00008296 00073921



AT SMITH BARNEY

# Smith Barney Reserved Client Financial Management Account

Page 5 of 8

August 1 - August 31, 2007

HENRY FRANKENBERG TTEE Account number 569-70079-11 727

Mutual funds <i>continued</i>									
Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/Decrease
	LEGG MASON PARTNERS INTERMEDIATE MAT CA MUNI FD A			\$ 458,756.00			\$ 456,681.61		(\$ 2,104.39)
	Total Purchases vs. Current Value								
	Fund Value Increase/Decrease								4,424.98
	Total mutual funds (Tax based)			\$ 471,930.93		\$ 474,074.14		(\$ 2,104.39)	3.87
								\$ 4,247.60	LT
	Total Fund Value Increase/Decrease								\$ 18,393.73
	Total portfolio value			\$ 1,945,427.37		\$ 1,919,764.04		(\$ 7,807.93)	ST
								(\$ 17,985.40)	LT
									7.22
									\$ 138,707.71

## TRANSACTION DETAILS

All transactions appearing are based on trade date.

Investment activity	Date	Activity	Description	Quantity	Price	Amount
	08/09/07	Sold	CAPITAL INCOME BUILDER FUND CLASS C	-861.225	\$ 63.32	\$ 54,532.77
	08/09/07	Bought	LEHMAN BROTHERS HLDGS CAP TR V 6% PFD SER M STRIP YIELD = 7.133 6.0000% DUE 04/22/2053 NEXT CALL 04/22/09 AT 25.000	2,600	21.116	-54,908.94

Total securities bought and other subtractions \$ -54,908.94  
Total securities sold and other additions \$ 54,532.77

Other security activity	Date	Activity	Description	Quantity	Value
	08/28/07	Journal	CAPITAL INCOME BUILDER FUND CLASS C FROM 569-11636-01 TO 569-70079-01	271.76	\$ 17,033.91
			Net value of securities deposited/(withdrawn) + capital contributions		\$ 17,033.91

citi smith barney